

**CONLEY ROSE**

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(713) 238-8000[WWW.CONLEYROSE.COM](http://WWW.CONLEYROSE.COM)(972) 731-2288  
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eshck@dfw.conleyrose.com

December 28, 2004

RESUBMISSIONOffice of Finance  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450Re: Request for Refund  
U.S. Patent Application No. 10/083,785  
Filing Date: February 25, 2002  
Our File: 4040-02800

Dear Sirs:

The subject patent application was filed as a small entity with fees paid originally for a total of 37 claims with 4 independent claims. During prosecution, the following claims were added and the PTO was authorized to charge such fees to our Deposit Account:

Date	No. of claims	Claims added	Amount authorized	PTO charged
01/08/04	Total claims: 43 Independent: 5	Excess claims: 6 Excess Independent: 1	\$97.00	\$97.00
04/12/04	Total claims: 56 Independent: 11	Excess claims: 13 Excess Independent: 6	\$427.00 (claims which should be \$375.00) \$55.00 (Extension) \$385.00 (RCE)	\$110.00 (Extension) \$770.00 (RCE)
08/18/04	Total claims: 56 Independent: 13	No excess claims Excess Independent: 2	\$86.00	
11/08/04	Total claims: 40 Independent: 7		No fee	\$220.00 (for 5 independent claims)
Total:			\$998.00	\$1,197.00
Overcharge:				\$199.00

We apologize for the miscalculation of the excess claims fees with our response of April 12, 2004. However, we believe that the charges in April 2004 for the extension of time and RCE for large entity were erroneous. Copies of the Fee Transmittals and statements of our Deposit Account for April and November 2004 are enclosed with the charges marked. Also enclosed is a

23457.01/4040.02800

PAGE 4/12 \* RCVD AT 5/8/2006 5:10:56 PM [Eastern Daylight Time] \* SVR:USPTO-EFXXF/1/21 \* DNIS:2736500 \* CSID:9727312289 \* DURATION (mm:ss):03:20

BEST AVAILABLE COPY

Office of Finance  
December 28, 2004  
Page 2

copy of the PTO Fee Calculation Sheet for your ease of reference. We are therefore requesting the refund of the overcharge of \$199.00 to be credited to our Deposit Account 50-1515, Conley Rose, P.C.

Should you have any questions, please do not hesitate to let us know.

Sincerely,

CONLEY ROSE, P.C.



Edith S. Shek  
Assistant to Rodney B. Carroll

Enclosures

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1460  
Alexandria, VA 22313-1460

<b><u>Application Number</u></b>	10/083,785
<b><u>Filing Date</u></b>	February 26, 2002
<b><u>First Named Inventor</u></b>	Howard W. DeMoore
<b><u>Art Unit</u></b>	2864
<b><u>Examiner Name</u></b>	Marvin P. Crenshaw
<b><u>Attorney Docket Number</u></b>	4040-02800

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_
2. **Miscellaneous**
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(d) required)
- b. ☐ Other \_\_\_\_\_
3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1515
- a. ☒
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☒ Other Additional claims 44-58
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Registration No. (Admnsr/Agent)	39,824
---------------------------------	--------

Date 4-12-04

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop R-CE, Commissioner for Prisons, P. O. Box 1450, Alexandria, VA 22313-1450 or Rockville transmitted to the U.S. Post and a Yorkmark Office on the date shown below.

Name (Print/Type)	Edwin S. Shak
-------------------	---------------

Signature	<i>[Signature]</i>
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Date	4-12-2004
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This collection of information is required by 37 CFR 1.114. This information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

If you need assistance in completing the form, call 1-800-PTO-9109 and select option 2.

PTO/SB/22 (08-03)

Approved for use through 7/31/2004. OMB 0851-0001  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number (Optional) 4040-02800
In re Application of <b>Howard W. DeMoore</b>		
Application Number	10083785	Filed February 25, 2002
For <b>Inexpensive, Wind-Free Cover for Printing Press Transfer Cylinder</b>		
Art Unit	2854	Examiner <b>Marvin P. Crenshaw</b>

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1)) \$ 110.00
- ☐ Two months (37 CFR 1.17(a)(2)) \$ \_\_\_\_\_
- ☐ Three months (37 CFR 1.17(a)(3)) \$ \_\_\_\_\_
- ☐ Four months (37 CFR 1.17(a)(4)) \$ \_\_\_\_\_
- ☐ Five months (37 CFR 1.17(a)(5)) \$ \_\_\_\_\_

- ☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ 55.00.
- ☐ A check in the amount of the fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 60-1616.

I have enclosed a duplicate copy of this sheet.

I am the ☒ applicant/inventor.

- ☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/86).
- ☐ attorney or agent of record. Registration Number 39,624
- ☐ attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

4-12-04

04/14/2004 DSASFAT 00000003 501515 10083785  
Sale Ref: 00000003 DB: 501515 10083785  
01 FC:1251 110.00 DA-2288

Telephone Number

Rodney B. Carroll

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

The collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes in complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9198 and select option 2.

PAGE 1517 \* RCVD AT 4/12/2004 1:55:53 PM Eastern Daylight Time \* SVR:USPTO-EXRF-IN \* DBS:072306 \* CSD:9727312289 \* DURATION (mm:ss):02:44

Adjustment date: 08/21/2006 SDIRETAT 00000003 501515 10083785  
04/14/2004 DSASFAT 00000003 501515 10083785  
01 FC:1251 110.00 CR

08/21/2006 SDIRETAT 00000001 501515 10083785

01 FC:2251 55.00 DA

United States Patent and Trademark Office  
- Sales Receipt -

09/28/2005 KTOBIN 00000001 501515 10083785

01 FC:2202 300.00 DA

Adjustment Date: 08/21/2006 SDIRETA1  
09/28/2005 KTOBIN 00000001 501515 10083785  
01 FC:2202 300.00 CR

SEP 15 2005

9727312289

P.18

PTO/SB17 (12-04)

Approved for use through 07/12/2006. OMB 051-0032  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, an estimate now required to be shown in a collection of information unless it discloses a valid OMB control number.

Effective on 10/02/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (P.L. 109-105)**FEE TRANSMITTAL**  
**For FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (3) 395.00

**Complete if Known**

Application Number	10/083,785
Filing Date	February 25, 2002
First Named Inventor	Howard W. DeMoore
Examiner Name	Marvin P. Greshaw
Art Unit	2854
Attorney Docket No.	4040-02800

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number 50-1515 Deposit Account Name Conley Rose, P.C.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-3038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	300	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims HP=56 Extra Claims Fee (\$)  
 56 - 20 or HP = 0 x 25.00 = 0.00  
 HP = highest number of total claims paid for, if greater than 20  
 Indep. Claims HP=13 Extra Claims Fee (\$)  
 13 - 3 or HP = 0 x 100.00 = 0.00  
 HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims  
 Fee (\$) Fee Paid (\$)

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____	_____	_____

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Request for Continued Examination (RCE) (\$395)

Fees Paid (\$)

0.00

395.00

**SUBMITTED BY**

Signature

Michael W. PiperRegistration No. 39,800  
(Attorney/Agent)

Telephone (972) 731-2288

Name (Print/Type)

Michael W. Piper

Date

9/15/2005

This collection of information is required by 37 CFR 1.134. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/22 (08-03)

Approved for use through 7/31/2004, OMB 0051-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number (Optional) <b>104002800</b>
In re Application of <b>Howard W. DeMoore</b>		
Application Number	<b>10/083,785</b>	Filed <b>February 25, 2002</b>
For <b>Inexpensive, Waste-Free Cover for Printing Press Transfer Cylinder</b>		
Art Unit	<b>2854</b>	Examiner <b>Marvin P. Crenshaw</b>

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- ☒ One month (37 CFR 1.17(a)(1)) \$ 110.00
- ☐ Two months (37 CFR 1.17(a)(2)) \$ \_\_\_\_\_
- ☐ Three months (37 CFR 1.17(a)(3)) \$ \_\_\_\_\_
- ☐ Four months (37 CFR 1.17(a)(4)) \$ \_\_\_\_\_
- ☐ Five months (37 CFR 1.17(a)(5)) \$ \_\_\_\_\_

- ☒ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ 55.00.
- ☐ A check in the amount of the fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 60-1615.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/86).

☐ attorney or agent of record. Registration Number 38,824

☐ attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_

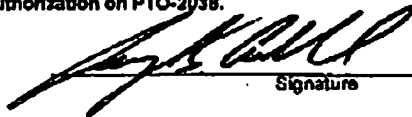
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

4-12-04

Date

(972) 731-2288

Telephone Number



Signature

Rodney B. Carroll

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-8199 and select option 2.

Approved for use through 07/01/2006, GAO 0631 (002)  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
(Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number)

# FEE TRANSMITTAL For FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$ 867.00

## METHOD OF PAYMENT (Check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:  
Deposit Account Number: 50-1515  
Deposit Account Name: Conley Rose, P.C.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee in the above-indicated deposit account  
☒ Credit any overpayments

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Code (S)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	\$
1002	340	2002	170	Design filing fee	\$
1003	330	2003	165	Plant filing fee	\$
1004	770	2004	385	Reissue filing fee	\$
1005	160	2005	80	Provisional filing fee	\$

SUBTOTAL (1) \$

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Fee	Code (S)	Fee Description	Fee Paid
Independent	11	5	4	43.00
Multiple Dependent	200.00			\$ 200.00

Large Entity	Small Entity	Fee	Code (S)	Fee Description	Fee Paid
1202	18	2202	9	Charge in excess of 70 independent claims in excess of 3 multiple dependent claims, if not paid	\$
1203	85	2203	43	Refund independent claims over original patent	\$
1204	85	2204	43	Refund claims in excess of 10 and over original patent	\$
1205	18	2205	9		\$

SUBTOTAL (2) \$427.00

\*\* as number previously paid, if greater. For Reissue, see above

## SUBMITTED BY

Name (Print/Type)	Registration No. (Assignee/Agent)	Telephone
Rodney D. Carroll	39,624	(972) 731-2290
Signature		Date 4-12-04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22311-1450. DO NOT SEND THIS OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22311-1450.

If you must withhold information from this form, call 1-800-451-5211 or 1-800-786-9270.



Under the Paperwork Reduction Act,

no persons are required to respond to a collection of information

U.S. Patent ap

Approved for use through 07/31/2006. OMB 0691-0032  
 Office: U.S. DEPARTMENT OF COMMERCE  
 It displays a valid OMB control number

# FEE TRANSMITTAL For FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$ 86.00

## METHOD OF PAYMENT (Check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:  
 Deposit Account Number: 50-1515  
 Deposit Account Name: Conley Rose, P.C.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account  
☒ Credit any overpayments

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1001 770	2001 385	Utility filing fee	\$
1002 340	2002 170	Design filing fee	\$
1003 530	2003 265	Plant filing fee	\$
1004 770	2004 385	Reissue filing fee	\$
1005 160	2005 80	Provisional filing fee	\$

SUBTOTAL (1) \$

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
56 - 36 = 20	0	9.00	\$0.00
Independent 13 - 11 = 2	2	43.00	\$86.00
Multiple Dependent	290.00		\$ 00.00

Large Entity Fee	Small Entity Fee	Fee Description
Code (\$)	Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent Claims in excess of 3
1203 290	2203 145	Multiple dependent claims, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$86.00

\*\* or number previously paid, if greater; For Reissues, see above

## Complete if Known

Application Number	10/083,785
Filing Date	February 25, 2002
First Named Inventor	Howard W. DeMoore
Examiner Name	Marvin P. Crenshaw
Art Unit	2854
Any. Docket No.	4040-02800

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1051 130	2051 65	Surcharge - late filing fee or oath	\$
1052 30	2052 25	Surcharge - late provisional filing fee or cover sheet	\$
1053 130	2053 130	Non-English specification	\$
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	\$
18042 920*	1804 920*	Requesting publication of SIR prior to Examiner action	\$
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	\$
1251 110	2251 55	Extension for reply within first month	\$
1252 420	2252 210	Extension for reply within second month	\$
1253 950	2253 475	Extension for reply within third month	\$
1254 1,480	2254 740	Extension for reply within fourth month	\$
1255 2,010	2255 1,005	Extension for reply within fifth month	\$
1401 330	2401 165	Notice of Appeal	\$
1402 330	2402 165	Filing a brief in support of an appeal	\$
1403 280	2403 140	Request for oral hearing	\$
1451 1,510	1452 1,510	Petition to institute a public use proceeding	\$
1452 110	2452 55	Petition to revive - unavoidable	\$
1453 1,330	2453 665	Petition to revive - unintentional	\$
1501 1,330	2501 665	Utility issue fee (or reissue)	\$
1502 480	2502 240	Design issue fee	\$
1503 640	2503 320	Plant issue fee	\$
1460 130	1460 130	Petitions to the Commissioner	\$
1807 50	1806 50	Processing fee under 37 CFR 1.17(g)	\$
123 50	123 50	Petitions related to provisional applications	\$
1806 180	1806 180	Submission of Information Disclosure Sheet	\$
8021 40	8021 40	Recording each patent assignment per property (Unless number of properties)	\$
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	\$
1801 770	2801 385	Request for Continued Examination (RCE)	\$
1802 900	1802 900	Request for expedited examination of a design application	\$

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0.00

## SUBMITTED BY

## Complete if applicable

Name (Print/Type)	Rodney B. Carroll	Registration No. (Attorney/Agent)	39,624	Telephone	(972) 731-2299
Signature		Date	8-18-04		

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Deposit Account Statement

Requested Statement Month: November 2004  
Deposit Account Number: 501515  
Name: CONLEY ROSE PC  
Attention: TAMIKO STEWART  
Address: 5700 GRANITE PARKWAY, SUITE 330  
City: PLANO  
State: TX  
Zip: 75024

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/01	142	10975828	4059-01300	2001	\$395.00	\$8,357.
11/01	143	10975828	4059-01300	2201	\$44.00	\$8,313.
11/01	144	10975828	4059-01300	2202	\$72.00	\$8,241.
11/01	267	2198360		6205	\$100.00	\$8,141.
11/01	268	2198360		6208	\$200.00	\$7,941.
11/02	23	10936999	4147-00201	2201	\$43.00	\$7,898.
11/02	30	E-REPLENISHMENT		9203	-\$1,500.00	\$9,398.
11/03	4	10088021	11148/10904	2202	\$135.00	\$9,263.
11/04	9	10088021	11146/10904	2201	\$44.00	\$9,219.
11/04	9	76464156	4040-07000	6003	\$200.00	\$9,019.
11/04	10	10088021	11146/10904	2202	\$207.00	\$8,812.
11/08	51	10773832	4003-09101	8021	\$40.00	\$8,772.
11/08	149	10981822	2002-IP-007994 U1 D1(1391 1001		\$790.00	\$7,982.
11/08	150	10981822	2002-IP-007994 U1 D1(1391 1202		\$324.00	\$7,658.
11/08	189	76365398	4030-00500	7004	\$150.00	\$7,508.
11/08	234	10733059	4081-05202/3/4/5	8007	\$80.00	\$7,428.
11/15	23	E-REPLENISHMENT		9203	-\$3,000.00	\$10,421
11/16	4	10131658	1858-18701(D	1801	\$790.00	\$9,638.
11/16	5	10131658	1858-18701(D	1202	\$72.00	\$9,566.
11/16	8	10131658	1858-18701(D	1251	\$110.00	\$9,456.
11/17	41	10886780	4005-01900	1460	-\$130.00	\$9,586.
11/19	1	10083785		2201	\$220.00	\$9,366.
11/19	3	10874458	4100-01500	1504	\$300.00	\$9,066.
11/19	148	76620516	4121-33900	6001	\$670.00	\$8,396.
11/19	226	76620568	4121-33800	6001	\$670.00	\$7,726.
11/24	2	10842140	71362	1814	\$110.00	\$7,616.
11/26	124	29217867	4072-01200	1002	\$350.00	\$7,266.

11/29 13	E-REPLENISHMENT	9203	-\$2,000.00	\$9,266.
11/29 73	29217917 4072-01100	1002	\$350.00	\$8,916.
	START	SUM OF	SUM OF	END
	BALANCE	CHARGES	REPLENISH	BALANCE
	\$8,752.00	\$6,468.00	\$6,630.00	\$8,918.00

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displays a valid OMB control number

# FEE TRANSMITTAL

## For FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$ 867.00

## Complete if Known

Application Number	10/083,785
Filing Date	February 25, 2002
First Named Inventor	Howard W. DeMoore
Examiner Name	Marvin P. Crenshaw
Art Unit	2834
Atty. Docket No.	4040-02800

## METHOD OF PAYMENT (Check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:  
 Deposit Account Number: 50-1513  
 Deposit Account Name: Conley Rose, P.C.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account  
☒ Credit any overpayments

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1001 770	2001 385	Utility filing fee	\$
1002 340	2002 170	Design filing fee	\$
1003 530	2003 265	Plant filing fee	\$
1004 770	2004 385	Reissue filing fee	\$
1005 160	2005 80	Provisional filing fee	\$

SUBTOTAL (1) \$

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from	Fee Paid
56 + 43 = 99	13	9.00 = \$149.00	
Independent 11, - 5 = 6	6	43.00 = \$258.00	
Multiple Dependent	290.00 = \$00.00		

Large Entity Fee	Small Entity Fee	Fee Description
Code (\$)	Code (\$)	
1202 13	2202 9	Claims in excess of 20
1201 86	2201 43	Independent Claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$427.00

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1031 130	2031 65	Surcharge - late filing fee or oath	\$
1032 30	2032 25	Surcharge - late provisional filing fee or cover sheet	\$
1053 130	1053 130	Non-English specification	\$
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	\$
18042 920*	1804 920*	Requesting publication of SIR prior to Examiner action	\$
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	\$
1251 110	2251 55	Extension for reply within first month	\$53.00
1252 420	2252 210	Extension for reply within second month	\$
1253 950	2253 475	Extension for reply within third month	\$
1254 1,480	2254 740	Extension for reply within fourth month	\$
1255 2,010	2255 1,005	Extension for reply within fifth month	\$
1401 330	2401 165	Notice of Appeal	\$
1402 330	2402 165	Filing a brief in support of an appeal	\$
1403 280	2403 140	Request for oral hearing	\$
1451 1,310	1452 1,310	Petition to institute a public use proceeding	\$
1452 110	2452 55	Petition to revive - unavoidable	\$
1453 1,330	2453 665	Petition to revive - unintentional	\$
1501 1,330	2501 665	Utility issue fee (or reissue)	\$
1502 480	2502 240	Design issue fee	\$
1503 640	2503 320	Plant issue fee	\$
1460 130	1460 130	Petitions to the Commissioner	\$
1807 30	1806 30	Processing fee under 37 CFR 1.17(g)	\$
123 30	123 30	Petitions related to provisional applications	\$
1806 180	1806 180	Submission of Information Disclosure Sheet	\$
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	\$
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	\$
1801 770	2801 385	Request for Continued Examination (RCE)	\$385.00
1802 900	1802 900	Request for expedited examination of a design application	\$

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$440.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Rodney B. Carroll	Registration No. (Attorney/Agent)	39,624	Telephone	(972) 731-2299
Signature				Date	4-12-04

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**Deposit Account Statement**

Requested Statement Month: April 2004  
Deposit Account Number: 501515  
Name: CONLEY ROSE PC  
Attention: TAMIKO STEWART  
Address: 5700 GRANITE PARKWAY, SUITE 330  
City: PLANO  
State: TX  
Zip: 75024

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/02	127	10810927	4059-01200	8021	\$40.00	\$3,055.00
04/05	46	1271794	4003-00900	6205	\$100.00	\$2,955.00
04/05	47	1271794	4003-00900	6201	\$400.00	\$2,555.00
04/07	38	E-REPLENISHMENT		9203	-\$3,445.00	\$6,000.00
04/07	196	76583415	4120-00100	6002	\$100.00	\$5,900.00
04/07	197	76583886	4120-00200	6002	\$100.00	\$5,800.00
04/07	198	76563887	4120-00300	6002	\$100.00	\$5,700.00
04/09	2	10279326	1733-02101	1251	\$110.00	\$5,590.00
04/09	3	10342484	1733-02103	1251	\$110.00	\$5,480.00
04/09	22	PCT/US02/29447	1733-01904	1706	\$14.00	\$5,466.00
04/09	242		4081-03202/4/5/6	8007	\$80.00	\$5,386.00
04/14	3	10083785		1251	\$110.00	\$5,276.00
04/14	4	10083785		1801	\$770.00	\$4,506.00
04/20	62	1520499		6401	\$300.00	\$4,206.00
04/20	239	76587037	4059-01900	6001	\$335.00	\$3,871.00
04/22	35	E-REPLENISHMENT		9203	-\$2,129.00	\$6,000.00
04/22	992	76270135	4037-00200	7004	\$150.00	\$5,850.00
04/23	130	PCT/US03/29168	4081-01402	1705	\$14.00	\$5,836.00
04/27	149	10831038	4044-00203	2001	\$385.00	\$5,451.00
04/27	150	10831038	4044-00203	2202	\$27.00	\$5,424.00
04/28	14	PCT/US04/12451	4040-01402	1601	\$300.00	\$5,124.00
04/28	15	PCT/US04/12451	4040-01402	1602	\$1,000.00	\$4,124.00
04/28	16	PCT/US04/12451	4040-01402	1701	\$1,053.00	\$3,071.00
04/28	17	PCT/US04/12451	4040-01402	1703	\$744.00	\$2,327.00
04/28	18	PCT/US04/12461	4040-01402	8007	\$40.00	\$2,287.00
04/28	75	E-REPLENISHMENT		9203	-\$4,655.00	\$6,942.00
04/28	111	10831503	4040-01401	2001	\$385.00	\$6,557.00

04/28 112 10831503	4040-01401	2202	\$171.00	\$6,386.00
04/28 113 10831503	4040-01401	2201	\$86.00	\$6,300.00
04/28 176 10831038	4044-00203	8021	\$40.00	\$8,260.00
04/28 553 78144661	4114-00500	7004	\$150.00	\$6,110.00
04/28 560 78105574	4114-00500	7004	\$150.00	\$5,960.00
04/29 96 10831503	4040-01401	8021	\$40.00	\$5,920.00
04/30 66 10439858	4040-07100	2501	\$665.00	\$5,255.00
04/30 67 10439858	4040-07100	1504	\$300.00	\$4,955.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$3,095.00	\$8,369.00	\$10,229.00	\$4,955.00

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**BEST AVAILABLE COPY****PATENT APPLICATION FEE DETERMINATION RECORD**  
Effective October 1, 2001

Application or Docket Number

10/083,785

**CLAIMS AS FILED - PART I**

	(Column 1)	(Column 2)
TOTAL CLAIMS	37	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	37 minus 20 =	17
INDEPENDENT CLAIMS	17 minus 3 =	14
MULTIPLE DEPENDENT CLAIM PRESENT	<input type="checkbox"/>	

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

	(Column 1)	(Column 2)	(Column 3)
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	37	Minus 37	= 0
Independent	4	Minus 4	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM	<input type="checkbox"/>		

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	FEE	RATE	FEE
BASIC FEE	370.00	BASIC FEE	740.00
X\$ 9=	153	X\$18=	
X42=	42	X84=	
+140=		+280=	
TOTAL	565	TOTAL	

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X42=		X84=	
+140=		+280=	
TOTAL ADDIT. FEE		TOTAL ADDIT. FEE	

	(Column 1)	(Column 2)	(Column 3)
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	37	Minus 37	= 0
Independent	4	Minus 4	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM	<input type="checkbox"/>		

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=	171.00	X\$18=	
X42=	20.00	X84=	
+140=		+280=	
TOTAL ADDIT. FEE		TOTAL ADDIT. FEE	

	(Column 1)	(Column 2)	(Column 3)
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	40	Minus 56	= -16
Independent	16	Minus 11	= 5
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM	<input type="checkbox"/>		

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X42=	220.00	X84=	
+140=		+280=	
TOTAL ADDIT. FEE		TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" in THIS SPACE is less than 20, enter "20."  
 \*\*\* If the "Highest Number Previously Paid For" in THIS SPACE is less than 3, enter "3."  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

## FAX TRANSMITTAL COVER SHEET

---

CONLEY ROSE, P.C.  
5700 Granite Parkway, Suite 330  
Plano, Texas 75024-6616  
Fax Number: (972) 731-2289  
Telephone Number: (972) 731-2288

---

**PLEASE DELIVER THE FOLLOWING PAGES IMMEDIATELY TO:**

NAME: Office of Finance  
U.S. Patent and Trademark Office

FAX: 571 273 6500

FROM: Teresa Ryan

DATE: May 8, 2005

RE: **3rd REQUEST**

U.S. Patent Application Serial No. 10/083,785  
Filing Date: February 25, 2002  
Atty Docket: 4040-02800  
Request for Corrected dated December 28, 2004 (Resubmission) (10 pages)  
Copy of Receipt of Request for Refund (1 page)

Total Number of Pages (Including This One): 12

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**FAX TRANSMITTAL COVER SHEET**

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**CONLEY ROSE, P.C.**  
**5700 Granite Parkway, Suite 330**  
**Plano, Texas 75024-6616**  
Telephone Number: (972) 731-2288  
Fax Number: (972) 731-2289

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**PLEASE DELIVER THE FOLLOWING PAGES IMMEDIATELY TO:**

---

**NAME:** Office of Finance  
United States Patent and Trademark Office

**FAX:** (703) 872-9306

**FROM:** Edith Shek (for Rodney B. Carroll)

**DATE:** July 11, 2005

**RE:** U.S. Patent Application Serial No. 10/083,785  
Filing Date: February 25, 2002  
Atty Docket: 4040-02800  
Request for Refund dated December 28, 2004 (Resubmission)(9 pages)  
Copy of Receipt of Request for Refund (1 page)

Total Number of Pages (Including Cover Page): 11

**REMARKS:**

Since there has not been any action in the enclosed Request for Refund, it is resubmitted for action. Thank you for your assistance in this matter.

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